Deviation from the Orbital Symmetry Rules. 1,2-Hydrogen Addition

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The reaction mechanisms of 1,2-hydrogen addition to the π bond of H₂X=YH₂ (X or Y = C, Si, B, N, Al, and P) type molecules were studied by ab initio molecular orbital methods. For reactions H₂ + H₂C=CH₂ and H₂Si=SiH₂, least-motion (C_{2v} symmetry) and reduced symmetry pathways (C_s or C_1 symmetries) were calculated. The reactions on these pathways were classified into two types (a *concerted* and a *polarized* mechanism) by the CI/LMO/CAS analysis. The reactions for both systems along the C_{2v} symmetry path belongs to the *concerted* mechanism (biradical type). On the C_s symmetry path, the reaction of ethylene also belongs to the *concerted* mechanism; the reaction of disilene belongs to the *polarized* mechanism. The energy barrier heights on the C_s (or C_1) symmetry pathway were reduced 17% for ethylene system and 22% for disilene system from those on the C_{2v} symmetry pathways by the MP2/CAS(6,6) method. The other reactions—X not equal to Y—were also classified into the *polarized* mechanisms by the CI/LMO/CAS analysis. The classification was explained on the basis of the triplet excitation and polarization energies of the π bond in H₂X=YH₂ model molecules.

I. Introduction

It is well-known that the orbital symmetry rules¹ are very useful for the decision of allowance and forbiddance of chemical reactions. One of the typical application examples of the rules is the addition of molecular hydrogen to ethylene via a fourcenter transition state, which is a prototypical reaction for molecule additions to unsaturated hydrocarbons.

$$H_2C = CH_2 + H_2 \rightarrow H_3C - CH_3 \tag{1}$$

While the least-motion pathway with C_{2v} symmetry for reaction 1 is symmetry forbidden, the reduced symmetry (C_s or C_1) pathway is symmetry allowed from the precise treatment of the rules. The activation barrier heights on these reaction pathways could not be estimated from the rules. Gordon and co-workers² calculated the activation energy barrier height (130 kcal/mol) for 1,2-hydrogen elimination from ethane with reduced symmetry (C_s symmetry) by ab initio MO methods. The energy barrier (113.4 kcal/mol) for 1,2-hydrogen addition into ethylene with the C_s symmetry was also calculated by Siria and co-workers.³ On the other hand, the reaction of $H_2Si=SiH_2 +$ H₂ is also symmetry forbidden on the least-motion pathway and symmetry allowed on the reduced symmetry pathway. The potential energy surfaces for 1,2-hydrogen elimination processes for disilane were calculated by Gordon and co-workers.⁴ They estimated the activation energy barrier of 86 kcal/mol on the reduced symmetry pathway by ab initio MO methods. However, the difference of energy barrier heights on the least-motion $(C_{2\nu}$ symmetry) and the reduced symmetry pathways is, to our knowledge, unknown.

To clarify the influence of symmetry on the activation energy barriers for reactions, the reaction paths for 1,2-hydrogen addition to the π bond of seven H₂X=YH₂ molecules were studied in the view of symmetry and asymmetry (reduced symmetry).

II. Theoretical Approach

The basis set used here was the split-valence plus polarization 6-31G(d,p) set.⁵ All equilibrium and transition state geometries

were determined with analytically calculated energy gradients⁶ at a complete active space (CAS) self-consistent-field (SCF) method⁷ and the second-order M ϕ ller-Plesset perturbation (MP2) level⁸ of theory with the Hartree-Fock wave functions using the 6-31G(d,p) basis set. For the CASSCF calculation, two types of active spaces were chosen. One is four active spaces corresponding to the π and π^* orbitals of H₂X=YH₂ and the σ and σ^* orbitals of the active hydrogen molecule (CAS-(4,4)). The other is the active spaces of CAS(4,4) plus the σ and σ^* orbitals of X-Y bond (CAS(6,6)). For both of the CASSCF calculations, all configurations in the active spaces were generated. The force constant matrix and thereby the vibrational frequencies were calculated with analytically calculated energy second derivatives.⁹ Additional calculations were performed to obtain improved energy comparisons-the calculations with the CASSCF-optimized structures with electron correlation incorporated through the multiconfigurational secondorder M ϕ ller-Plesset perturbation theories [MP2/CAS]¹⁰ and the calculations with the MP2-optimized structures with electron correlation incorporated through the fourth-order Møller-Plesset perturbation theories [MP4(SDTQ)/MP2]. The intrinsic reaction coordinate¹¹ (IRC) was followed from the transition state toward both reactants and products.

To interpret reaction mechanisms along the reaction pathway, a configuration interaction (CI)/localized molecular orbital (LMO)/CAS analysis was carried out following a method described elsewhere^{12,13} with the 6-31G(d,p) basis set. (1) The RHF, single-determinant wave function is calculated and the MOs that correspond most closely to the σ and σ^* orbitals of the active hydrogen part and the π and π^* orbitals of the H₂X=YH₂ part are identified. A four-orbital, four-electron CASSCF calculation (CAS(4,4)) is then performed to obtain a starting set of orbitals for the localization procedure. (2) After carrying out the CASSCF procedure, the CASSCF-optimized orbitals are localized. The four CASSCF-optimized MOs are subjected to the Boys localization procedure.14 The calculated localized orbitals are very atomic in nature. The localized MOs for the transition state with C_{2v} symmetry in reaction 1 are plotted in Figure 1. (3) By using the localized MOs as a basis, a 20 \times 20 CI is used to generate electronic structures and their

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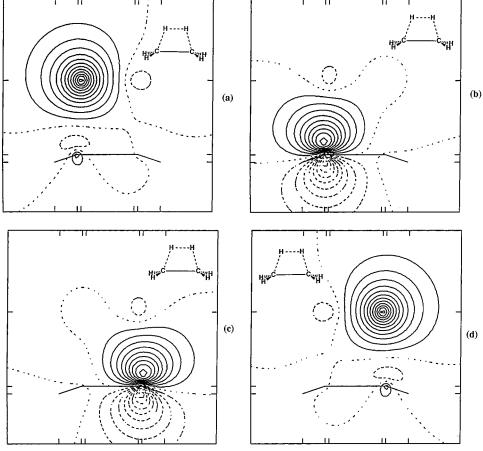


Figure 1. The localized CASSCF-optimized MOs for the transition state with $C_{2\nu}$ symmetry for $H_2 + H_2C=CH_2$ reaction are plotted for (a) H (1s), (b) C ($2p_{\pi}$), (c) C ($2p_{\pi}$), and (d) H (1s).

Figure 2. Electronic configurations from 20×20 CI.

relative weight in the ground state wave function. While a little tail remained in the obtained localized MOs as shown in Figure 1, we think that the main configurations can be used for the characterization of reaction. The 20 spin-adapted configurations generated are shown schematically in Figure 2.

The CI/LMO/CAS analysis calculations were performed with the GAMESS program.¹⁵ The other calculations were carried out with the GAUSSIAN94 program.¹⁶

III. Results and Discussion

The structure shapes of stationary points for the treated reactions $(H_2X=YH_2 + H_2: (X,Y) = (C,C), (Si,Si), (C,Si), (B,N), (B,P), (Al,N), and (Al,P))$ are illustrated in Figure 3. Their geometry parameters are listed in Table 1. The total energies for their spaces and the relative energies are summarized in Tables 2 and 3, respectively.

A. $H_2X=XH_2 + H_2 \rightarrow H_3X-XH_3$ Reactions. First of all, 1,2-hydrogen addition into ethylene and disilene were treated. The least-motion paths for these reactions have C_{2v} symmetry, which are the symmetry forbidden reactions from the orbital symmetry rules. However the reaction paths with C_s or C_1 symmetry are the symmetry allowed reactions. The transition states (TS($C_{2\nu}$) and TS(C_s)) with $C_{2\nu}$ and C_s symmetries were calculated for the reactions $H_2 + H_2C=CH_2$ and $H_2Si=SiH_2$. For both systems of ethylene and disilene, each $TS(C_{2\nu})$ has two negative eigenvalues for their force constant matrix by the CAS/6-31G(d,p) calculation methods (CAS(4,4) and CAS(6,6)). The eigenvector of one negative eigenvalue corresponds to the reaction coordinate with a_1 symmetry for both systems. The other eigenvalue is 969 cm⁻¹ (b_2 symmetry) for the ethylene system and 338 cm⁻¹ (a_2 symmetry) for the disilene system by the CAS(6,6)/6-31G(d,p) method. Consequently their true

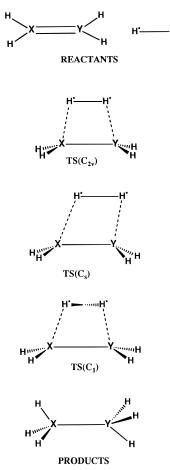


Figure 3. The structure shapes of stationary points.

transition states for the reactions of ethylene and disilene have C_s and C_1 symmetries, respectively. For the transition state of the disilene system with C_1 symmetry, the dihedral angle of H*-M-Si-Si (M: middle point of active hydrogen) is only 12° by the CAS(6,6) level.

For the reaction $H_2 + H_2C=CH_2$, the difference between the activation energy barriers at the transition states with C_{2v} and C_s symmetries is about 9 kcal/mol by the CAS(6,6) method and 17 kcal/mol by the MP2/CAS(6,6) methods. This energy difference for the ethylene system is only 8% by the CAS(6,6)/ 6-31G(d,p) calculation levels, and 17% by the MP2/CAS(6,6)/ 6-31G(d,p) levels. On the other hand, for the reaction H_2 + H₂Si=SiH₂, the difference between the energy barrier heights at the transition states with $C_{2\nu}$ and C_s symmetries is 2.8 kcal/ mol by the CAS(6,6)/6-31G(d,p) level and 13.2 kcal/mol by the MP2/CAS(6,6)/6-31G(d,p) levels. The energy difference is only 5% by the CAS(6,6)/6-31G(d,p) levels and 22% by the MP2/CAS(6,6)/6-31G(d,p) levels. At the transition states with C_s and C_1 symmetries for disilene system, the difference of the activation energy barriers is only 0.1 kcal/mol by the CAS(6,6) method. This small energy difference corresponds to the geometry difference at their transition states. As the results indicate, the reduced activation energies for the avoidance from the symmetry forbiddance $(C_{2\nu} \rightarrow C_s \text{ or } C_1)$ are small (not drastic).

In order to electronically study mechanisms for the above two reaction pathways (C_{2v} and C_s), the reactions of the ethylene and disilene systems were analyzed along the IRC paths by the CI/LMO/CAS method. The relative weights of the electronic configurations at the points of the reactant side (RX = negative values), the transition state (RX = 0.0), and the product side (RX = positive values) for each system are summarized in

 TABLE 1: Some Geometry Parameters of Stationary Points

 for the Treated Reactions by the CAS(6,6) and CAS(4,4)

 Methods^a

noas						
onary oints						
Y	H^*-H^{*b}	X-Y	H*-X	H*-Y		
Reactant $(H_2X=YH_2+H_2)$						
С	0.753	1.353 (1.338)				
Si	0.753	2.236 (2.233)				
С	0.753	1.740 (1.735)				
Ν	0.753	1.407 (1.389)				
Р	0.753	1.883 (1.899)				
Ν	0.753	1.790 (1.771)				
Р	0.753	2.337 (2.346)				
		$TS(C_{2v}$ Symmet	ry)			
С	1.296 (1.268)	1.466 (1.448)	1.749 (1.743)	1.749 (1.743)		
Si	0.890 (0.903)	2.454 (2.408)	2.094 (2.548)	2.094 (2.548)		
		$TS(C_s Symmetric)$	ry)			
С	1.458 (1.472)	1.490 (1.466)	1.489 (1.478)	1.700 (1.693)		
Si	0.968 (0.961)	2.425 (2.383)	1.699 (1.701)	2.006 (1.994)		
С	1.040 (1.059)	1.767 (1.747)	1.803 (1.838)	1.772 (1.810)		
Ν	0.986 (1.004)	1.605 (1.574)	1.381 (1.363)	1.406 (1.409)		
Р	1.088 (1.133)	2.242 (2.104)	1.287 (1.278)	1.769 (1.783)		
Ν	0.994 (1.026)	1.941 (1.912)	1.414 (1.409)	1.826 (1.837)		
Р	1.122 (1.111)	2.539 (2.538)	1.732 (1.728)	1.834 (1.838)		
		$TS(C_1 Symmet)$	ry)			
Si	0.936 (0.950)	2.396 (2.375)	1.716 (1.682)	2.031 (1.973)		
Р	1.115	2.228	1.280	1.792		
	P	roducts (H ₃ X-Y	YH3)			
С		1.537	1.088	1.088		
Si		2.350	1.477	1.477		
С		1.891	1.477	1.088		
Ν		1.689	1.202	1.014		
Р		1.982	1.198	1.396		
Ν		2.104	1.595	1.015		
Р		2.587	1.589	1.397		
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 a The values in parentheses were calculated by the CAS(4,4) method; units are angstroms. b H* means active hydrogen atom.

Tables 4–7. For the reaction of $H_2 + H_2C=CH_2$ with $C_{2\nu}$ symmetry (Table 4), the weight of configuration 11 decreases from the reactant side to the product side and the weight of configuration 9 increases. That is to say, configuration 11 could be described as the interaction between singlet hydrogen and singlet ethylene coupled to give a singlet, and configuration 9 could be described as the interaction between triplet hydrogen and triplet ethylene coupled to give a singlet. From the results, this mechanism can be characterized by the transformation between the singlet and the triplet spin states for each π bond and H–H σ bond. Of course, the total spin state for the system is kept in the singlet state. We call this mechanism a concerted reaction. The variation in the weights of the configuration (Table 5) along the IRC path with C_s symmetry for H₂ + $H_2C=CH_2$ is similar to that for the reaction path with $C_{2\nu}$ symmetry. Thus, both mechanisms on the $C_{2\nu}$ and C_s paths are the *concerted reaction*. For the reaction of $H_2 + H_2Si=SiH_2$ with C_{2v} symmetry (Table 6), the weights of configuration 11 decreases from the reactant side to the product side and the weight of configuration 9 increases; it is the same pattern as that of $H_2 + H_2C = CH_2$. Accordingly, this mechanism is also the concerted reaction. For the reaction with C_s symmetry (Table 7), the weight of configuration 9 increases from the reactant side to the product side. On the other hand, the weight of configuration 11 does not change much such as those in the above reactions (the concerted reaction). The weight of configuration 16 decreases along the IRC path from the reactant side to the product side. Configuration 16 could be described by the polarization of the π bond. Consequently, we call this mechanism a *polarized* reaction. Thus, the reaction mechanisms

TABLE 2: Total Energies (hartree) for Various Species

methods	CAS(6,6)	MP2/CAS(6,6)	CAS(4,4)	MP2/CAS(4,4)	MP4/MP2
		Reactar	nts		
H-H	-1.149 64	-1.161 77	-1.149 64	-1.161 77	-1.164 56
$H_2C=CH_2$	-78.09327	-78.327 17	-78.067 34	-78.320 41	-78.353 79
$H_2Si=SiH_2$	-580.123 39	-580.30071	-580.11083	-580.29476	-580.31478
$H_2Si=CH_2$	-329.095 05	-329.305 43	-329.071 07	-329.294 42	-329.321 25
$H_2B=NH_2$	-81.541 34	-81.770 67	-81.513 58	-81.767 88	-81.796 89
$H_2B=PH_2$	-367.736 40	-367.946 49	-367.721 74	-367.934 03	-367.955 74
$H_2Al=NH_2$	-298.747 68	-298.962 34	-298.719 35	-298.95890	-298.978 53
$H_2Al=PH_2$	-584.968 65	-585.152 76	-584.956 12	-565.145 87	-585.163 97
		Transition	States		
$H_2CHHCH_2(C_{2v})$	$-79.068\ 30$	-79.302 19	-79.04628	-79.306 76	
$H_2CHHCH_2(C_s)$	-79.08278	-79.329 62	-79.05856	-79.331 09	-79.374 37
$H_2SiHHSiH_2(C_{2v})$	-581.18640	-581.366 67	-581.173 36	-581.368 83	
$H_2SiHHSiH_2(C_s)$	-581.19077	-581.387 82	-581.174 89	-581.389 25	-581.416 24
$H_2SiHHSiH_2(C_1)$	-581.191 03	$-581.387\ 80$	-581.175 59	-581.395 81	-581.416 41
$H_2SiHHCH_2(C_s)$	-330.15044	-330.381 66	-330.127 25	-330.375 43	-330.406 73
$H_2BHHNH_2(C_s)$	-82.599 71	-82.855 62	-82.572 64	-82.85984	-82.892 84
$H_2BHHPH_2(C_s)$	-368.832 23	-369.05302	-368.826 38	-369.06426	-369.090 62
$H_2BHHPH_2(C_1)$	-368.833 56	-369.060 94			-369.092 11
$H_2AlHHNH_2(C_s)$	-299.843 73	-300.08251	-299.81671	$-300.081\ 80$	-300.104 19
$H_2AlHHPH_2(C_s)$	-586.05583	-586.248 36	-586.04403	-586.257 53	-586.276 29
		Produc	ets		
H_3C-CH_3					-79.584 12
H ₃ Si-SiH ₃					-581.559 39
H ₃ Si-CH ₃					-330.576 45
H_3B-NH_3					-82.961 72
H_3B-PH_3					-369.148 15
H ₃ Al-NH ₃					-300.163 07
H ₃ Al-PH ₃					-586.339 75

H₃Al-PH₃

TABLE 3: Activation Energies and Heats of Reactions (ΔH) for $H_2 + H_2X = YH_2$ Reactions (kcal/mol)

			activation energy						
(\mathbf{V}, \mathbf{V})		CAS	MP2/CAS	CAS	MP2/CAS	MP4/	$\frac{\Delta H}{MD4/MD2}$		
(X,Y)	sym	(6,6)	(6,6)	(4,4)	(4,4)	MP2	MP4/MP2		
(C,C)	C_{2v}	109.6	117.2	107.1	110.1		-41.3		
	$C_{\rm s}$	100.5	100.0	99.4	94.8	90.4	-41.4		
(Si,Si)	C_{2v}	54.4	60.1	54.7	55.0		-50.2		
	$C_{\rm s}$	51.6	46.9	53.7	42.2	39.6	-50.2		
	C_1	51.5	46.9	53.3	38.1	39.5	-50.2		
(Si,C)	$C_{\rm s}$	59.2	53.7	58.6	50.7	49.6	-56.9		
(B,N)	$C_{\rm s}$	57.3	48.2	56.8	43.8	43.0	-0.2		
(B,P)	$C_{\rm s}$	33.8	34.7	28.2	19.8	17.7	-17.5		
	C_1	32.9	29.7				-17.5		
(Al,N)	$C_{\rm s}$	33.6	26.1	24.2	29.5	24.4	-12.5		
(Al,P)	$C_{\rm s}$	39.2	41.5	38.7	31.5	32.7	-7.0		

on the C_s symmetry path for $H_2 + H_2Si=SiH_2$ are different from that on the C_{2v} symmetry path.

To study the reason for the difference of reaction mechanisms between ethylene and disilene systems with C_s symmetry, the triplet excitation, $\pi \rightarrow \pi^*$, energies and the π orbital polarization energies for ethylene and disilene were calculated by the MP4/ 6-31G(d,p)//MP2 level. For the triplet excitation energies, the three models with C_{2v} , C_s , and C_1 symmetries were calculated on the basis of the symmetry path from the above results. Namely the geometries of the models for the triplet state were optimized under the restriction of each symmetry $(C_{2v}, C_s, and$ C_1). The triplet excitation energies were obtained from the energy difference between the ground state and the above model geometries. Accordingly, the obtained energies are adiabatic triplet excitation energies under the restriction of each symmetry $(C_{2\nu}, C_s, \text{ and } C_1)$. On the other hand, the calculations for the orbital polarization energies used the following model.

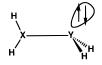


TABLE 4: CI Coefficients of LMO-CI Analyses along the IRC Path for $H_2 + H_2C = CH_2$ with C_{2n} Symmetry

me	I atil 101	112 1120	CH_2 wi	c_{2v} c_{j}	inner y	
		RX =	RX =		RX =	RX =
no.	config	-1.0	-0.5	TS(0.0)	0.5	1.0
1	2200>	-0.003	-0.011	-0.076	-0.133	-0.152
2	2101>	-0.023	-0.050	-0.225	-0.326	-0.332
3	1201>	-0.014	-0.023	-0.020	0.007	0.015
4	2002>	0.162	0.138	-0.012	-0.150	-0.179
5	1102>	0.274	0.265	0.176	0.051	0.036
6	0202>	0.146	0.125	0.066	0.010	0.003
7	2110>	0.014	0.023	0.020	-0.007	-0.015
8	1210>	0.023	0.050	0.225	0.326	0.332
9	1111>	0.012	0.050	0.412	0.598	0.571
10	2011>	0.383	0.362	0.227	0.041	0.005
11	1111>	0.677	0.716	0.671	0.396	0.342
12	0211>	0.383	0.362	0.227	0.041	0.005
13	1012>	0.026	0.054	0.215	0.306	0.333
14	0112>	0.015	0.020	0.022	-0.001	-0.013
15	2020>	0.146	0.125	0.066	0.010	0.003
16	1120>	0.274	0.265	0.176	0.051	0.036
17	0220>	0.162	0.138	-0.012	-0.150	-0.179
18	1021>	-0.015	-0.020	-0.022	0.001	0.013
19	0121>	-0.026	-0.054	-0.215	-0.306	-0.333
20	0022>	-0.004	-0.012	-0.068	-0.119	-0.155

The model was assumed to have C_s symmetry, and the geometry was optimized. The orbital polarization energy was obtained from the energy difference between the ground state and the model geometries. These calculated energies are listed in Table 8. The absolute values of the calculated triplet excitation and the calculated orbital polarization energies cannot be compared directly because these models are very rough for both the transition state and each energy (triplet excitation and orbital polarization energies). However, we think these values can be used as a qualitative comparison for the classification of reaction mechanisms. From the above results by the CI/LMO/CAS analysis, the reactions for ethylene and disilene on the C_{2v} and C_s symmetry paths were classified into the concerted and polarized mechanisms. We assumed here that the transition state (energy barrier height) for the conserted mechanism relates to

TABLE 5: CI Coefficients of LMO-CI Analysis along the IRC Path for the $H_2 + H_2C=CH_2$ Reaction with C_s Symmetry

•					
C.	RX =	RX =	TC (0,0)	RX =	RX =
config	-1.0	-0.5	15(0.0)	0.5	1.0
2200>	0.046	-0.077	-0.120	-0.140	-0.152
2101>	-0.082	0.019	-0.110	-0.226	-0.286
1201>	-0.134	0.157	0.166	0.135	0.093
2002>	0.037	-0.002	-0.061	-0.075	-0.133
1102>	0.136	-0.029	0.091	0.135	0.112
0202>	0.133	-0.108	-0.072	-0.039	-0.016
2110>	-0.303	0.339	0.287	0.152	0.064
1210>	-0.200	0.234	0.292	0.323	0.329
1111>	-0.372	0.511	0.589	0.586	0.559
2011>	0.184	-0.059	0.056	0.084	0.056
1111>	0.520	-0.383	-0.125	0.155	0.276
0211>	0.311	-0.276	-0.237	-0.174	-0.105
1012>	0.121	-0.047	0.091	0.222	0.299
0112>	0.325	-0.372	-0.335	-0.232	-0.135
2020>	0.129	-0.096	-0.061	-0.022	-0.004
1120>	0.260	-0.248	-0.230	-0.141	-0.058
0220>	0.124	-0.132	-0.169	-0.201	-0.206
1021>	0.123	-0.135	-0.147	-0.108	-0.056
0121>	0.168	-0.222	-0.317	-0.386	-0.386
0022>	0.038	-0.065	-0.120	-0.168	-0.189
	2101> 1201> 2002> 1102> 0202> 2110> 1210> 1210> 1111> 0211> 0112> 0112> 0122> 1120> 12020> 1120> 0220> 1021> 0212>	$\begin{array}{c cccc} config & -1.0 \\ \hline 2200> & 0.046 \\ 2101> & -0.082 \\ 1201> & -0.134 \\ 2002> & 0.037 \\ 1102> & 0.136 \\ 0202> & 0.133 \\ 2110> & -0.303 \\ 1210> & -0.200 \\ 1111> & -0.372 \\ 2011> & 0.184 \\ 1111> & 0.520 \\ 0211> & 0.311 \\ 1012> & 0.121 \\ 0112> & 0.325 \\ 2020> & 0.129 \\ 1120> & 0.260 \\ 0220> & 0.124 \\ 1021> & 0.168 \\ \hline \end{array}$	$\begin{array}{c cccc} config & -1.0 & -0.5 \\ \hline 2200> & 0.046 & -0.077 \\ 2101> & -0.082 & 0.019 \\ 1201> & -0.134 & 0.157 \\ 2002> & 0.037 & -0.002 \\ 1102> & 0.136 & -0.029 \\ 0202> & 0.133 & -0.108 \\ 2110> & -0.303 & 0.339 \\ 1210> & -0.200 & 0.234 \\ 1111> & -0.372 & 0.511 \\ 2011> & 0.184 & -0.059 \\ 1111> & 0.520 & -0.383 \\ 0211> & 0.311 & -0.276 \\ 1012> & 0.121 & -0.047 \\ 0112> & 0.325 & -0.372 \\ 2020> & 0.129 & -0.096 \\ 1120> & 0.260 & -0.248 \\ 0220> & 0.124 & -0.132 \\ 1021> & 0.123 & -0.135 \\ 0121> & 0.168 & -0.222 \\ \hline \end{array}$	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$

TABLE 6: CI Coefficients of LMO-CI Analysis along the IRC Path for the $H_2 + H_2Si=SiH_2$ Reaction with C_{2v} Symmetry

no.	config	RX = -0.5	TS(0.0)	RX = 0.5
1	2200>	-0.039	-0.105	-0.190
2	2101>	-0.138	-0.259	-0.373
3	1201>	-0.107	-0.155	-0.114
4	2002>	-0.027	-0.112	-0.173
5	1102>	-0.011	-0.092	-0.090
6	0202>	0.006	-0.018	-0.010
7	2110>	0.107	0.155	0.114
8	1210>	0.138	0.259	0.373
9	1111>	0.091	0.247	0.487
10	2011>	0.417	0.332	0.150
11	1111>	0.743	0.643	0.416
12	0211>	0.417	0.332	0.150
13	1012>	0.090	0.153	0.233
14	0112>	0.070	0.101	0.088
15	2020>	0.006	-0.018	-0.010
16	1120>	-0.011	-0.092	-0.090
17	0220>	-0.027	-0.112	-0.173
18	1021>	-0.070	-0.101	-0.088
19	0121>	-0.090	-0.153	-0.233
20	0022>	-0.011	-0.025	-0.063

the triplet excitation energies (the electron spin exchange from singlet to triplet) for each spaces (H_2 and $H_2X=YH_2$) and the transition state for the polarized mechanism relates to the orbital polarization energy on the basis of the model as shown in the above figure for each space.

From the assumed transition state models, reaction systems in Scheme 1 were also studied. For ethylene, the calculated triplet excitation energies for C_{2v} and C_s symmetries are almost the same (the difference: 3%). This fact corresponds to the small difference (9% by the CAS(6,6) method) in activation energies on the C_{2v} and C_s reaction paths. On the C_{2v} symmetry path, the activation energy of disilene is about 50% of that for ethylene. This can be explained from the triplet excitation energies of ethylene and disilene with $C_{2\nu}$ symmetry. Namely, the triplet excitation energy of disilene with C_{2v} symmetry is about 54% of that of ethylene. Next, we would like to explain the mechanisms (concerted and polarized) for ethylene and disilene on the C_s symmetry paths. The calculated orbital polarization energy for ethylene is over 60% of the triplet excitation energy with C_s symmetry. On the other hand, the calculated orbital polarization energy for disilene is under 30%

TABLE 7: CI Coefficients of LMO–CI Analysis along the IRC Path for the $H_2 + H_2Si=SiH_2$ Reaction with C_s Symmetry

no.	config	RX = -0.5	TS(0.0)	RX = 0.5
1	2200>	0.066	0.110	-0.207
2	2101>	0.014	-0.098	0.264
3	1201>	-0.055	0.046	-0.027
4	2002>	-0.006	0.025	-0.105
5	1102>	-0.006	-0.024	0.024
6	0202>	0.018	0.006	0.000
7	2110>	-0.256	0.296	-0.251
8	1210>	-0.249	0.330	-0.444
9	1111>	-0.204	-0.327	0.498
10	2011>	-0.039	-0.154	0.166
11	1111>	0.088	-0.077	0.261
12	0211>	0.131	0.080	-0.018
13	1012>	0.008	0.091	-0.221
14	0112>	0.069	-0.046	0.017
15	2020>	0.324	0.204	-0.052
16	1120>	0.609	0.503	-0.228
17	0220>	0.357	0.342	-0.237
18	1021>	0.301	-0.296	0.146
19	0121>	0.308	-0.342	0.281
20	0022>	0.054	0.093	-0.104

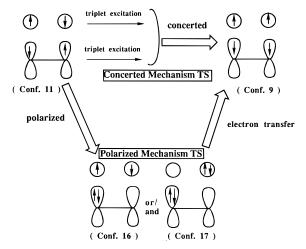
 TABLE 8: Triplet Excitation Energies and Orbital

 Polarization Energies for H2X=YH2 Molecule by MP4/

 6-31G(d,p)/MP2 Level (kcal/mol)

	tri	plet excitati	orbital	
	C_{2v}	$C_{\rm s}$	C_1	polarization
$H_2C=CH_2$	179.0	173.6	67.4	109.9
H ₂ Si=SiH ₂	96.1	96.1	21.6	31.3
$H_2Si=CH_2$		42.4	36.0	57.2
$H_2B=NH_2$		123.9	107.9	32.7
$H_2B=PH_2$		82.0	65.2	10.6
$H_2Al=NH_2$		85.9	82.8	12.8
$H_2Al=PH_2$		60.0	58.6	3.6

SCHEME 1



of the triplet excitation energy with C_s symmetry. Namely, the selection between the *concerted* mechanism or the *polarized* mechanism depends on whether the influence of the triplet excitation energy is dominant or whether the orbital polarization energy is dominant, and therefore selection between the mechanisms will somehow depend on the balance between these effects or perhaps by the ratio of one to the other. Hence, the reaction of the ethylene system occurs through the concerted mechanism for both C_{2v} and C_s symmetry paths. The reaction of the disilene system occurs through the concerted mechanism for the C_{2v} symmetry path and through the polarized mechanism for the C_s symmetry path. As this is stated here, it would seem that one could have the triplet excitation energy controlling the

TABLE 9: CI Coefficients of LMO–CI Analysis at the Transition States for $H_2 + H_2X=YH_2$ Reactions

			2 2			
no.	config	SiC (C_s)	BN (C_s)	$\mathrm{BP}\left(C_{s}\right)$	AlN (C_s)	AlP (C_s)
1	2200>	0.110	0.096	0.182	-0.100	0.158
2	2101>	-0.007	0.112	0.224	0.096	0.177
3	1201>	-0.141	-0.054	-0.008	-0.072	0.004
4	2002>	-0.004	0.044	0.090	-0.030	0.064
5	1102>	0.013	-0.046	-0.011	0.051	0.003
6	0202>	0.068	0.011	-0.004	-0.022	-0.003
7	2110>	-0.352	-0.189	0.351	0.179	-0.358
8	1210>	-0.394	-0.337	0.380	0.370	-0.402
9	1111>	-0.350	-0.425	0.370	-0.410	-0.340
10	2011>	0.009	-0.115	0.223	-0.089	-0.218
11	1111>	0.246	-0.152	0.209	-0.128	-0.227
12	0211>	0.279	0.119	-0.010	0.176	-0.022
13	1012>	0.022	-0.198	0.155	0.159	-0.129
14	0112>	0.166	0.119	-0.003	-0.152	-0.019
15	2020>	0.170	0.064	0.164	-0.051	0.154
16	1120>	0.425	0.269	0.405	-0.261	0.429
17	0220>	0.298	0.348	0.267	-0.383	0.309
18	1021>	0.189	0.191	0.225	0.157	0.213
19	0121>	0.243	0.495	0.261	0.493	0.256
20	0022>	0.056	0.242	0.079	0.212	0.057

concerted mechanism while the orbital polarization energy is controlling the *polarized* mechanism.

B. $H_2X=YH_2 + H_2 \rightarrow H_3X-YH_3$. The reactions for five asymmetric systems ($H_2 + H_2X=YH_2$: X not equal to Y, (X,Y) = (Si,C), (B,N), (B,P), (Al,N), and (Al,P)) were treated. The transition states for these reactions have C_s symmetry, except for the (B,P) system by the CAS(6,6)/6-31G(d,p) method. These reactions were analyzed by the CI/LMO/CAS methods. The relative weights of the electronic configurations at the transition states for these systems are summarized in Table 9. The calculated results for these systems are similar to that of the disilene system with C_s symmetry. Namely, the weights of configurations 16 and 17 are large for all systems. The configurations 16 and 17 mean the polarization of the π bond of X=Y and the polarization of the π bond of X=Y and the *polarized* mechanism.

Consider the relationship between the activation energy barrier heights for these systems and the triplet excitation or the orbital polarization energies for H₂X=YH₂ molecules. The activation energy barrier for the reaction H₂Si=CH₂ + H₂ is about 8 kcal/ mol larger than that for the reaction $H_2Si=SiH_2 + H_2$ on the C_s pathway by the CAS(6,6)/6-31G(d,p) method. The calculated orbital polarization energy of H₂Si=CH₂ is about 26 kcal/mol larger than that of H₂Si=SiH₂. Hence the order relationship of the activation energies for both reactions corresponds with that of their calculated orbital polarization energies. On the other hand, the triplet excitation energy for H₂Si=CH₂ is about 54 kcal/mol less than that of H₂Si=SiH₂. This relationship is the opposite of that for their activation energies. That is to say, the reaction of the H₂Si=CH₂ system occurs through the polarized mechanism as shown in the results of the CI/LMO/ CAS analysis. The activation energy barrier height for the reaction $H_2B=NH_2 + H_2$ is similar to those for the (Si,Si) and (Si,C) systems with C_s symmetry: the barrier height for the (B,N) system is in the middle of those for the (Si,Si) and (Si,C) systems. The orbital polarization energy of H₂B=NH₂ is also in the middle of those of the H2Si=SiH2 and H2Si=CH2 molecules. However the triplet excitation energy for H₂B=NH₂ is very large as compared with the triplet excitation energies for $H_2Si=SiH_2$ and $H_2Si=CH_2$. The reaction $H_2B=PH_2 + H_2$ does not lead to the product H₃B-PH₃; it leads to BH₃--H₃P weak complex as shown in a previous paper.¹⁷ The activation energy barrier for the (Al,N) system is about 20 kcal/mol lower than those for the (Si,C) and (B,N) systems. The orbital

polarization energy of the H₂Al=NH₂ molecule is also about 20 kcal/mol smaller than that of H₂B=NH₂. The activation energy barrier for the (Al,P) system is about 20 kcal/mol lower than those for the (Si,C) and (B,N) systems by the CAS(6,6) level. The orbital polarization energy of H₂Al=PH₂ is about 30 kcal/mol smaller than that of H₂B=NH₂. In comparison with the (Al,N) and (Al,P) systems, the order relationship of these activation energies does not correspond to that of their orbital polarization energies. In the reaction of the (Al,P) system, it is considered that the bond-breaking mechanism of the H₂ molecule becomes critical because of the small electron negativity of the phosphorus atom. Thus, the activation energy barrier heights for the above treated systems correlate closely with the orbital polarization energies of their π bonds. While the orbital polarization energy calculated here is a very rough approximation for the index of the *polarized* mechanisms, it is useful to estimate the qualitative reactivity.

Before concluding this section, we should note the relation between the reaction mechanisms (concerted and polarized) and the geometries of the transition states as shown in Table 1. For the reactions of ethylene and disilene, the transition states with C_{2v} symmetry are earlier than those with C_s symmetry because the H*-H* bond length for the C_{2v} symmetry transition states are longer than those for the C_s symmetry transition states. Similarly, this relation can be seen for the H*-X bond length in comparison with the transition states and the products. For the $C_{2\nu}$ symmetry transition states, the H*-X bond length for the reactions of ethylene and disilene are 61% and 42% longer than those of the products, respectively. The H*-X bond length for the C_s symmetry transition states of ethylene and disilene are longer by 37% and 15% than those of the products, respectively. For other systems, the differences in the H*-X bond length between the transition states and their products are about under 22% ((Si,C) 22%, (B,N) 15%, (B,P) 7%, (Al,N) -11%, and (Al,P) 9%). From these facts, we suppose that the concerted transition state is earlier than the polarized transition state.

For the experimental energy barriers, we do not know the activation energies for the treated reactions. The energy barrier for $B_2H_6 + NH_3 \rightarrow H_2B=NH_2 + H_2 + BH_3$ was found to be 5.6 kcal/mol by infrared matrix isolation.¹⁸ However, we have showed¹⁹ that the reaction does not occur through the 1,2-hydrogen elimination mechanism.

IV. Conclusions

The reaction mechanisms of 1,2-hydrogen addition into π bonds of H₂X=YH₂ molecules were studied by ab initio MO methods. For X=Y systems (ethylene and disilene), there are two types of reaction pathways from the orbital symmetry rules. One is the least-motion pathway with $C_{2\nu}$ symmetry, which is symmetry forbidden. The other is the reduced symmetry pathway with C_s or C_1 symmetry, which is symmetry allowed. For ethylene and disilene systems, the least-motion and the reduced symmetry pathways were calculated. The transition states on the least-motion pathways for both systems are not true transition states. The difference between the activation energy heights on the least-motion and on the reduced symmetry paths was 17% for ethylene system and 22% for disilene system in comparison with their activation energy heights on the least motion path by the MP2/CAS(6,6) level.

The CI/LMO/CAS analysis showed the following results. The reactions on the least-motion pathway for both ethylene and disilene systems occur through the *concerted* mechanism. While the reactions on the reduced symmetry paths (C_s or C_1 symmetry) for the ethylene system occur also through the

concerted mechanism, the reaction for disilene occurs through the *polarized* mechanism. The reactions for five asymmetric systems (H + H₂X=YH₂: (X,Y) = (Si,C), (B,N), (B,P), (Al,N), and (Al,P)) occur also through the *polarized* mechanism. These two types of reaction mechanisms were explained on the basis of the $\pi \rightarrow \pi^*$ triplet excitation energies and the π bond polarization energies for H₂X=YH₂ molecules. Therefore, 1,2hydrogen addition into H₂X=YH₂ was classified into the *concerted* mechanism and *polarized* mechanism.

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